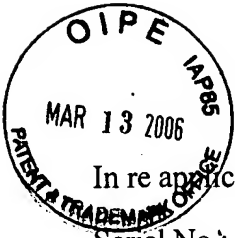


TFW 2818



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Daniel K. Lau, et al. Docket: 102412-108  
Serial No.: 10/529,017 Art Unit: 2818  
Filed: March 24, 2005 Examiner: David C. Nelms  
Assignee: Advanced Interconnect Technologies Limited Conf. No. 8812  
Title: THERMAL ENHANCED PACKAGE FOR BLOCK MOLD ASSEMBLY

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In keeping with the duty of candor and good faith owed to the Patent and Trademark Office, Applicant wishes to make of record the items on attached PTO Form 1449 which were cited in the International Search Report of the corresponding PCT application PCT/US03/29569. (A copy of the Report is attached herein). Copies of the references cited below are included herein. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

**CERTIFICATE OF MAILING OR TRANSMISSION (37 CFR 1.8(a))**

I hereby certify that this correspondence (along with any paper referred to as being attached or enclosed) is being:

☒ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

☐ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office via facsimile at (571) 273-8300.

Date: MARCH 9, 2006

Signed: \_\_\_\_\_

Gregory S. Rosenblatt

- ☒ 1. This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ a. Each item of information contained on Form PTO-1449 filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(1); and no fee is required under 37 C.F.R. §1.17(p).
- ☐ b. No item of information cited on Form PTO-1449 filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2); and no fee is required under 37 C.F.R. §1.17(p).
- ☐ Please charge Deposit Account No. 23-1665 in the amount of \$180.00 in payment of the fee under 37 C.F.R. §1.17(p). Two additional copies of this Information Disclosure Statement are enclosed.
- ☐ A check in the amount of \$180.00 is attached hereto in payment of the fee under 37 C.F.R. §1.17(p).
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. It is hereby requested that the Information Disclosure Statement be considered.

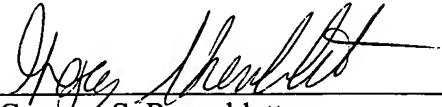
- ☐ a. Each item of information contained on Form PTO-1449 filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(1).
- ☐ b. No item of information cited on Form PTO-1449 filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2).
- ☐ Please charge Deposit Account No. 23-1665 in the amount of \$180.00 in payment of the fee under 37 C.F.R. §1.17(p). Two additional copies of this Information Disclosure Statement are enclosed.
- ☐ A check in the amount of \$180.00 is attached hereto in payment of the fee under 37 C.F.R. §1.17(p).

The undersigned represents that the closest art of which he is presently aware has been cited herein and understands that this statement shall not be construed as a representation that no better art exists or that a thorough patentability search has been made.

The undersigned further represents that the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

Respectfully submitted,  
Daniel K. Lau et al.

Date: MARCH 9, 2006

  
\_\_\_\_\_  
Gregory S. Rosenblatt  
Reg. No. 32,489

**CONTACT INFORMATION:**  
WIGGIN AND DANA LLP  
One Century Tower  
New Haven, CT 06508-1832  
Telephone: (203) 498-4566  
Facsimile: (203) 782-2889  
Email: grosenblatt@wiggin.com



## INFORMATION DISCLOSURE STATEMENT

1. U.S. Patent No. 5,367,196, which issued November 22, 1994 to Mahulikar et al.
2. U.S. Patent No. 5,608,267, which issued March 4, 1997 to Mahulikar et al.
3. U.S. Patent No. 5,650,663, which issued July 22, 1997 to Parthasarathi.
4. U.S. Patent No. 5,905,632, which issued May 18, 1999 to Seto et al.
5. U.S. Patent No. 5,919,329, which issued July 6, 1999 to Banks et al.
6. U.S. Patent No. 5,970,319, which issued October 19, 1999 to Banks et al.

7. U.S. Patent No. 5,977,626, which issued November 2, 1999 to Wang et al.
8. U.S. Patent No. 6,057,601, which issued May 2, 2000, to Lau et al.
9. U.S. Patent No. 6,359,341, which issued March 19, 2002, to Huang et al.
10. U.S. Patent No. 6,409,859, which issued June 25, 2002, to Chung.
11. U.S. Patent No. 6,432,742, which issued August 13, 2002, to Guan et al.
12. U.S. Patent No. 6,432,749, which issued August 13, 2002 to Libres.
13. U.S. Patent No. 6,432,752, which issued August 13, 2002 to Farnworth.

The undersigned represents that the closest art of which he is presently aware has been cited herein and understands that this statement shall not be construed as representation that no better art exists or that a thorough patentability search has been made.

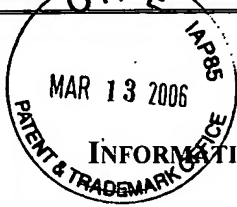
Respectfully submitted,  
Daniel K. Lau et al.

Date: MARCH 9, 2006

  
\_\_\_\_\_  
Gregory S. Rosenblatt, Reg. No. 32,489

**CONTACT INFORMATION:**  
Wiggin and Dana LLP  
One Century Tower  
New Haven, CT 06508-1832  
Telephone: (203) 498-4566  
Facsimile: (203) 782-2889  
Email: grosenblatt@wiggin.com

\\16832\5\581165.1

	ATTY. DOCKET NO. 102412-108	SERIAL NO. 10/529,017	
	APPLICANT(S): DANIEL K. LAU EDWARD L. T. LAW		
	FILING DATE: MARCH 24, 2005	GROUP ART: 2818	CONF NO: 8812

### U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NO.	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPR.
	5,367,196	November 22, 1994	Mahulikar et al.			
	5,608,267	March 4, 1997	Mahulikar et al.			
	5,650,663	July 22, 1997	Parthasarathi			
	5,905,632	May 18, 1999	Seto et al.			
	5,919,329	July 6, 1999	Banks et al.			
	5,970,319	October 19, 1999	Banks et al.			
	5,977,626	November 2, 1999	Wang et al.			
	6,057,601	May 2, 2000	Lau et al.			
	6,359,341	March 19, 2002	Huang et al.			
	6,409,859	June 25, 2002	Chung			
	6,432,742	August 13, 2002	Guan et al.			
	6,432,749	August 13, 2002	Libres			
	6,432,752	August 13, 2002	Farnworth			

### FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NO.	ISSUE DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

### OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)


EXAMINER:

DATE CONSIDERED:

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.